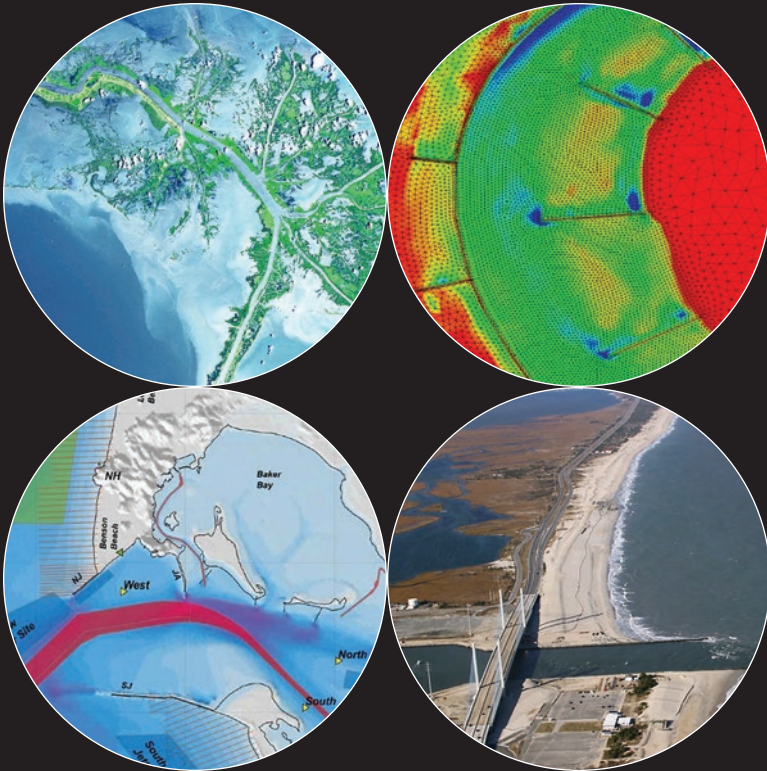


# Navigation Channel Sedimentation Solutions



Navigation Channel Sedimentation Task Committee

# Navigation Channel Sedimentation Solutions

## Other Titles of Interest

*Planning and Design Guidelines for Small Craft Harbors, Third Edition*, Task Committee on Marinas 2020 (ASCE/COPRI 2012). MOP 50 provides new, state-of-the-art guidelines for the planning, design, and development of small craft harbors. (ISBN 978-0-7844-1198-8)

*Ship Channel Design and Operation*, edited by Bruce L. McCartney, Laurie L. Ebner, Lyndell Z. Hales, and Eric E. Nelson (ASCE/COPRI 2005). MOP 107 provides an overview of the design process and operation of deep-draft navigation projects. (ISBN 978-0-7844-0770-7)

*Inland Navigation: Locks, Dams, and Channels*, edited by Bruce L. McCartney, John George, B. K. Lee, Mark Lindgren, and Frank Neilson (ASCE/COPRI 1998). MOP 94 provides information on planning, design, construction, and operation of the U.S. waterways used by barge traffic. (ISBN 978-0-7844-7033-6)

*Inland Navigation: Environmental Sustainability*, Task Committee on Inland Navigation of the Waterways Committee; edited by Bruce L. McCartney (ASCE/COPRI 2019). This report provides an overview of the ecosystem sustainability procedures currently used for inland waterways in the United States. (ISBN 978-0-7844-8151-6)

*Navigation Engineering Practice and Ethical Standards*, edited by William H. McAnally (ASCE/COPRI 2008). MOP 116 presents engineering criteria and practices for the design, operation, and management of navigation projects and shows how to integrate them with engineering ethics. (ISBN 978-0-7844-0992-3)

*Inland Navigation: Channel Training Works*, edited by Thomas J. Pokrefke (ASCE/COPRI 2013). MOP 124 presents design guidance on structures that reshape a river channel to create reliable depths and widths for safe and dependable vessel transit. (ISBN 978-0-7844-1253-4)

ASCE Manuals and Reports on Engineering Practice No. 156

# Navigation Channel Sedimentation Solutions

Sponsored by  
Navigation Channel Sedimentation Task Committee of the  
Navigation Engineering Subcommittee of the  
Waterways Committee of the  
Coasts, Oceans, Ports, & Rivers Institute of the  
American Society of Civil Engineers



Published by the American Society of Civil Engineers

## Library of Congress Cataloging-in-Publication Data

LCCN 2023036171

Cataloging-in-Publication Data on file with the Library of Congress

Published by American Society of Civil Engineers

1801 Alexander Bell Drive

Reston, Virginia 20191-4382

[www.asce.org/bookstore](http://www.asce.org/bookstore) | [ascelibrary.org](http://ascelibrary.org)

Any statements expressed in these materials are those of the individual authors and do not necessarily represent the views of ASCE, which takes no responsibility for any statement made herein. No reference made in this publication to any specific method, product, process, or service constitutes or implies an endorsement, recommendation, or warranty thereof by ASCE. The materials are for general information only and do not represent a standard of ASCE, nor are they intended as a reference in purchase specifications, contracts, regulations, statutes, or any other legal document. ASCE makes no representation or warranty of any kind, whether express or implied, concerning the accuracy, completeness, suitability, or utility of any information, apparatus, product, or process discussed in this publication, and assumes no liability therefor. The information contained in these materials should not be used without first securing competent advice with respect to its suitability for any general or specific application. Anyone utilizing such information assumes all liability arising from such use, including but not limited to infringement of any patent or patents.

ASCE and American Society of Civil Engineers—Registered in US Patent and Trademark Office.

*Photocopies and permissions.* Permission to photocopy or reproduce material from ASCE publications can be requested by sending an email to [permissions@asce.org](mailto:permissions@asce.org) or by locating a title in the ASCE Library (<http://ascelibrary.org>) and using the “Permissions” link.

**Errata:** Errata, if any, can be found at <https://doi.org/10.1061/9780784485149>.

Copyright © 2023 by the American Society of Civil Engineers.

All Rights Reserved.

ISBN 978-0-7844-1616-7 (print)

ISBN 978-0-7844-8514-9 (PDF)

ISBN 978-0-7844-8515-6 (ePub)

Manufactured in the United States of America.

27 26 25 24 23

1 2 3 4 5

# MANUALS AND REPORTS ON ENGINEERING PRACTICE

(As developed by the ASCE Technical Procedures Committee, July 1930, and revised March 1935, February 1962, and April 1982)

A manual or report in this series consists of an orderly presentation of facts on a particular subject, supplemented by an analysis of limitations and applications of these facts. It contains information useful to the average engineer in his or her everyday work, rather than findings that may be useful only occasionally or rarely. It is not in any sense a “standard,” however, nor is it so elementary or so conclusive as to provide a “rule of thumb” for nonengineers.

Furthermore, material in this series, in distinction from a paper (which expresses only one person’s observations or opinions), is the work of a committee or group selected to assemble and express information on a specific topic. As often as practicable, the committee is under the direction of one or more of the Technical Divisions and Councils, and the product evolved has been subjected to review by the Executive Committee of the Division or Council. As a step in the process of this review, proposed manuscripts are often brought before the members of the Technical Divisions and Councils for comment, which may serve as the basis for improvement. When published, each manual shows the names of the committees by which it was compiled and indicates clearly the several processes through which it has passed in review, so that its merit may be definitely understood.

In February 1962 (and revised in April 1982), the Board of Direction voted to establish a series titled, “Manuals and Reports on Engineering Practice” to include the manuals published and authorized to date, future Manuals of Professional Practice, and Reports on Engineering Practice. All such manual or report material of the Society would have been refereed in a manner approved by the Board Committee on Publications and would be bound, with applicable discussion, in books similar to past manuals. Numbering would be consecutive and would be a continuation of present manual numbers. In some cases of joint committee reports, bypassing of journal publications may be authorized.

*A list of available Manuals of Practice can be found at <https://ascelibrary.org/page/books/s-mop>.*



## DEDICATION

This manual is dedicated to Bruce L. McCartney, P.E., BC.NE, M.ASCE. Bruce has been a major force in the development of modern navigation engineering practice throughout his careers in the US Coast Guard and US Army Corps of Engineers and decades of active ASCE/COPRI Waterways Committee and Navigation Engineering Subcommittee membership. He wrote and guided half a dozen ASCE manuals on navigation engineering and contributed to scores of conferences and journal papers. His advocacy led to the discipline being recognized as a Board Certified Engineering Specialty by the Academy of Coastal, Ocean, Port, and Navigation Engineers. ASCE recognized Mr. McCartney's accomplishments with its prestigious Hans Einstein Award in 2013. His colleagues in navigation engineering cherish him as a friend, mentor, and continuing source of knowledge and inspiring ideas.



# CONTENTS

<b>NAVIGATION CHANNEL SEDIMENTATION TASK COMMITTEE.....</b>	<b>xiii</b>
<b>ACKNOWLEDGMENTS .....</b>	<b>xv</b>
<b>EXECUTIVE SUMMARY .....</b>	<b>xvii</b>
<b>1. INTRODUCTION .....</b>	<b>1</b>
Purpose .....	1
Scope.....	1
Motivation .....	2
Problem Definition .....	3
Sedimentation Solutions and Prediction History .....	7
Organization of This Manual.....	9
Terminology .....	10
References .....	10
<b>2. CHANNEL SEDIMENTATION PROCESSES .....</b>	<b>13</b>
Dominant/Driving Forces .....	13
Sediment Sources and Sinks .....	17
Sedimentation Scales.....	18
Sediment Properties .....	19
Sediment Dynamics .....	20
Cohesive Sediment Dynamics .....	23
Noncohesive Sediment Dynamics .....	24
Other Factors.....	26
References .....	27

<b>3. NAVIGATION CHANNELS AND SEDIMENTATION .....</b>	<b>31</b>
Channel Classifications.....	31
Shallow-Draft and Deep-Draft Channels .....	31
Inland and Coastal.....	32
Channel Configuration and Alignment.....	33
Channel Reaches .....	34
Navigation Channel Sedimentation .....	35
Off-Channel Sedimentation .....	38
Bankline and Shoreline Erosion .....	38
Sediment Quality.....	39
References.....	40
<b>4. SOLUTIONS TO SEDIMENTATION PROBLEMS.....</b>	<b>41</b>
Solution Strategies and Methods .....	41
Keep Sediment in Place.....	42
Keep Sediment Out.....	43
Keep Sediment Navigable .....	44
Dredging.....	44
Adapt to Sediment Regime.....	47
Sustainable Remedies .....	47
Evolving Environmental Concerns .....	47
Sustainability .....	49
Sustainability Rating Systems .....	50
Holism .....	52
References.....	53
<b>5. PREDICTION METHODS .....</b>	<b>57</b>
Field Observations.....	57
Sediment.....	59
Deposition and Erosion.....	60
Tracing Sediment.....	64
Other Data.....	65
Error and Uncertainty in Field Observations.....	65
Desktop Methods .....	66
General Desktop Approaches.....	66
Inland Channel Methods .....	73
Coastal Channel Methods.....	73
Machine Learning Models .....	78
Physical Models.....	78
Model Scales .....	79
Moveable-Bed River Models .....	81
Moveable-Bed Coastal Models.....	83
Fixed-Bed Tracer Models .....	84
Physical Model Application Examples .....	84
Numerical Models.....	85

Numerical Model Programs .....	86
Digital Models of Waterways .....	87
Model Dimensions .....	87
Model Meshes .....	88
Model Programs Capabilities .....	90
Graphical User Interfaces .....	93
Uncertainty in Predictions .....	94
Aleatory Uncertainty .....	95
Epistemic and Structural Uncertainty .....	96
Uncertainty Metrics from Observed Data .....	97
Uncertainty with Limited Observed Data .....	99
Reducing Uncertainty .....	100
References .....	101
<b>6. BEST PRACTICES .....</b>	<b>111</b>
Problem Definition .....	112
Objectives Statement .....	112
Problem and Process Boundaries Delineation .....	113
Information Inventory .....	113
Conceptual Site Model .....	114
Approach and Tools Selection .....	115
Design Approach .....	115
Identify Scale Issues .....	116
Assess Resources .....	116
Select Tools .....	117
Numerical Models Application .....	118
Model Dimensionality and Mesh .....	118
Boundary Conditions .....	121
Initial Conditions .....	122
Spin-Up Simulation .....	122
Time Steps .....	123
Model Parameters .....	128
Sensitivity Testing .....	129
Validation .....	131
Testing .....	133
Record Keeping .....	135
Fitness for Use .....	135
Interpreting and Reporting .....	136
Monitoring and Feedback .....	137
Managing Model Studies .....	137
Agency Modeling Requirements .....	140
Environmental Protection Agency .....	140
US Army Corps of Engineers .....	140
US Department of Interior, Bureau of Reclamation .....	141
References .....	141

**7 CONCLUDING REMARKS..... 145**

**APPENDIX A: GLOSSARY..... 147**

**APPENDIX B: CASE STUDIES..... 153**

**APPENDIX C: DECISION TREE TOOL SELECTION..... 207**

**APPENDIX D: ACRONYMS AND ABBREVIATIONS..... 211**

**INDEX..... 215**

# NAVIGATION CHANNEL SEDIMENTATION TASK COMMITTEE

The Navigation Channel Sedimentation Task Committee of the Waterways Committee of ASCE's Coasts, Oceans, Ports and Rivers Institute prepared this manual. Task Committee Member authors are

**Tanya M. Beck, Ph.D.**

Coastal and Hydraulics Laboratory  
Engineer Research and Development Center  
US Army Corps of Engineers

**Lynn Bocamazo, P.E., BC.CE**

New York District (Retired)  
US Army Corps of Engineers

**Gary L. Brown**

Coastal and Hydraulics Laboratory  
Engineer Research and Development Center  
U.S. Army Corps of Engineers

**Kristina Cydzik, P.E., LEED AP**

Engineering Systems Inc.

**Craig Jones, Ph.D.**

Integral Consulting, Inc.

**Dennis Lambert M.Eng, P.E., BC.NE, BC.PE, BC.WRE, F.ASCE**

IEM

**Rooni Mathew, Ph.D., P.E.**

CDM Smith

**William H. McAnally Ph.D., P.E., BC.NE, BC.CE, F.ASCE, *Co-chair***  
Dynamic Solutions, LLC  
and Geosystems Research Institute (Emeritus)  
Mississippi State University

**Nancy J. Powell, P.E., BC.WRE, BC.NE, M.ASCE**  
Arcadis U.S., Inc.

**Thomas J. Pokrefke, P.E., BC.NE, LM.ASCE**  
Coastal and Hydraulics Laboratory (Emeritus)  
Engineer Research and Development Center  
US Army Corps of Engineers

**Parmeshwar L. Shrestha, Ph.D., P.E., BC.WRE, BC.CE, *Co-chair***  
Engineering Systems Inc.

**Christopher M. Wallen, P.G., A.M.ASCE**  
Dynamic Solutions, LLC

## ACKNOWLEDGMENTS

We thank the following members of the Blue Ribbon Committee for their careful review of the document and excellent recommendations for improvements.

**Earl J. Hayter**

Environmental Laboratory  
Engineer Research and Development Center  
US Army Corps of Engineers

**William Anthony (Tony) Thomas**

Mobile Boundary Hydraulics, LLC

**Jane McKee Smith**

Coastal and Hydraulics Laboratory (Emeritus)  
Engineer Research and Development Center  
US Army Corps of Engineers

Special thanks to Carol McAnally for copyediting the draft document, to COPRI staff Tom Chase and Sean Herpolsheimer for their steadfast support to the committee, ASCE Acquisitions Editor Natalie Webster and Senior Manager Michie Gluck for shepherding this manual through publication.



# EXECUTIVE SUMMARY

## INTRODUCTION

This manual of practice describes navigation channel sedimentation, lists solutions to sedimentation problems in those channels, and recommends best practices for predicting navigation channel sedimentation responses—sediment deposition to, and erosion of, the channel bed plus surrounding bed and banks—resulting from those solutions.

Navigation is an integral part of the global and US economy, contributing more than \$500 billion to the US gross domestic product. The United States spends more than \$2 billion annually on dredging, mostly on navigation channel maintenance. Yet, without dredging or other solutions, a lack of adequate navigation channel depth impedes commerce and creates hazardous conditions for vessels. These impacts provide ample motivation to manage sedimentation processes in navigation channels.

Managing waterway sedimentation processes requires making sedimentation response predictions before new channels are constructed or existing channels are modified to reduce dredging needs. Presently available up-to-date critical review or categorization for evaluating navigation channel sedimentation solutions and prediction practices is extremely limited. This manual is intended to fill that gap through

1. Overview of channel sedimentation processes,
2. Discussion of navigation channels' classifications and typical sedimentation issues,
3. Solutions/remedies to channel sedimentation problems with sustainability concepts,
4. Channel sedimentation predictions methods
  - a. Field observations
  - b. Desktop analyses

- c. Physical (scale) models
- d. Numerical models
- e. Uncertainty in predictions from these methods,
5. Recommendations for best practices in using the prediction methods, and
6. Glossary of terms and acronyms.

## **Sedimentation**

Reliable solutions to, and accurate predictions of, navigation channel sedimentation require a thorough knowledge of sediment transport processes, including the hydrologic and hydraulic forces driving those processes. Broadly speaking, the principal external forces of interest are water, wind, and gravity. In riverine locations, gravity is the dominant external energy force, and the hydrodynamic equations need only to translate that force into flow velocity and boundary shear. The computation is often uncomplicated by variations in fluid densities or wind energy. In coastal locations, wind and tides may be the dominant external energy forces of interest. However, the water properties of density and temperature may vary in the vertical and horizontal directions.

Also broadly speaking, sediment transport in rivers, lakes, estuaries, and coastal settings involves the advection and diffusion of sediment originating from freshwater and/or marine sources, and the bed-water exchange processes of erosion and deposition. Transport is a function of the physical forcings acting upon the sediment, including the fluid forces of barotropic flow (water density a function of pressure only), baroclinic circulation (water density a function of pressure, temperature, and constituent concentrations), freshwater flow, waves, and winds. In addition to impacting transport directly, some of these forcings may also have secondary effects via nonlinear interactions with the others. Anthropogenic activities, primarily vessel traffic, can also directly impact sediment transport through vessel wakes and propwash.

The sources, transport, and eventual sinks of sediment represent a complex interplay of long- and short-term processes that must be understood to predict future behavior. In general, prediction of sedimentation in navigation channels involves shorter-term processes on the order of seasonal to annual timescales that deliver sediment from source areas to the channel. Nevertheless, longer-term processes must be considered in projects designed for 50-year-life spans and most often employed for far longer periods.

Sediment can be classified as cohesive or noncohesive. Cohesive sediment behavior is affected by interparticulate attractive forces that cause them to aggregate into flocs containing hundreds to millions of individual mineral grains. Cohesive sediments are generally comprised of

clay-sized ( $< 2 \mu\text{m}$ ) and silt-sized ( $< 62 \mu\text{m}$ ) particles. High-concentration cohesive sediment suspensions may exhibit non-Newtonian behavior when in a fluid-like state. Noncohesive sediments are sand and gravel-sized material ( $> 62 \mu\text{m}$ ) plus coarse silts where the particles exert only weight, friction, and impact forces on one another. Overall, noncohesive sediment transport properties are much better understood than cohesive sediment properties because of the difficulty of quantitatively predicting the role of interparticulate forces in cohesive sediment.

Moving water, whether because of river flow, tides, or waves, exerts a shear stress on the sediment bed. The shear stress, if large enough, may mobilize or erode sediment from the sediment bed and suspend it into the water column. Advection moves suspended sediment according to the local water velocity, whereas turbulent diffusion spreads sediment at rates dependent on concentration gradients and turbulence. Further, sediment (both noncohesive and cohesive) may also be transported as bedload—moving in proximity to, and in frequent contact with, the bed. When sediments move into lower-energy regions where turbulence and stresses are not high enough to keep them moving, the sediment settles and deposits.

### **Navigation Channels**

Navigation channels can be categorized by depth: shallow-draft and deep-draft channels with 14 or 15 ft of navigable depth as the most commonly used dividing line. In the United States, shallow-draft channels typically provide 9 to 12 ft of depth and are found in the inland waterway network, the Gulf and Atlantic Intracoastal Waterways, and small ports nationwide. Portions of the Gulf Intracoastal Waterway have an authorized depth of 16 ft but are still considered shallow-draft channels. Deep-draft channels offer navigable depths of 18 to 50 ft and more.

Navigation channel sedimentation problems are most often deposition or excessive erosion. Natural water depths are often too shallow to allow safe navigation and dredging them to provide sufficient depth creates an imbalance in the relationship between sediment supply and sediment transport capacity, resulting in deposition. Excessive sediment erosion becomes a problem when channel banks, nearby land, structures, or buried utilities are threatened.

The alignment and configuration (e.g., depth, slope, width) of a navigation channel affects sediment transport in several ways. In general, a deep trench channel cut into a natural alluvial plain or coastal morphology will disrupt the movement of sediment because of reduced current speed and/or wave effects in the deeper water of the channel. A channel aligned with the direction of dominant sediment transport may experience less deposition than one perpendicular to the transport direction. Thus, a

channel following the thalweg of a natural waterway will tend to experience less deposition than a channel that crosses the main transport path.

Channels approaching and passing through riverine navigation locks often present sedimentation challenges, because the pooled water on the upstream side (upper pool) provides a quiet water environment suitable for deposition. The downstream side (lower pool or tailwater) of the dam may be subject to erosion. Harbors, ports, docks, terminals, and marinas plus their connecting channels, anchorages, and turning basins experience the same sedimentation issues as navigation channels plus some unique problems related to their configuration.

### **Sedimentation Solutions**

The variety of channel environments and sedimentation processes prevents a one-size-fits-all solution to deposition and erosion problems. Solutions to sedimentation problems may take many forms, ranging from nonstructural to structural to dredging. A useful classification system consists of three categories and seven strategies:

1. Prevention
  - (a) Keep sediment in place.
  - (b) Keep sediment out.
  - (c) Keep sediment moving.
2. Treatment
  - (a) Keep sediment navigable.
  - (b) Dredge and remove sediment.
  - (c) Dredge and place sediment.
3. Accommodation—Adapt to sedimentation regime.

Examples within each of these categories provide an organized approach to selecting from a variety of appropriate solutions as described in Case Studies.

### **Prediction Methods**

Channel sedimentation responses to proposed solutions can be predicted by numerous methods grouped into four general approaches: field observations, desktop analyses, physical models, and numerical models. These approaches vary in terms of data requirements, complexity, reliability, applicability, cost, and time requirements. They should be applied with full recognition of their strengths and weaknesses and the user's skill in applying them.

Field observations provide insight into the physical processes of sedimentation and data for desktop analyses, physical modeling, and numerical modeling. Basic data collected for sedimentation studies

include standard time-varying morphologic, hydrodynamic, sediment, and water-quality measurements. Rates of channel deposition and erosion can be estimated by hydrographic/bathymetric surveys analysis, densiometric surveys analysis, dredging records, sediment sample dating, and test pits.

Desktop approaches represent the simplest methods for estimating sedimentation rates and can be applied relatively efficiently. Most desktop methods are empirical in nature, relying on field observations to predict future sedimentation rates. Their benefits of speed and low cost arise from assumed simplifications to physics and geometry and those same simplifications often produce lesser reliability than more rigorous modeling approaches.

Physical models are scale models constructed according to scientific scaling principles for geometric, kinematic, and dynamic similarity. They require considerable expertise, typically gained through long experience. Therefore, most sediment transport modeling is now performed using numerical modeling.

Process-based numerical methods for estimating navigation channel sedimentation rates solve differential and algebraic equations representing the physical forcings and transport processes relevant to sedimentation. Numerical sedimentation models of waterways consist of two principal parts—a modeling program that solves the appropriate equations and a digital representation of the waterway to be modeled. The digital representation of a waterway includes inputs such as a mesh that defines the physical boundaries of the waterway and initial elevations of the bed, banks, structures, and overbanks; some combination of water, sediment, and sometimes constituent inflows, outflows, and water levels at the water boundaries; bed and inflowing sediment characteristics; other pertinent driving forces; and parameters required by the computer program equations. The number of spatial dimensions—zero-dimensional (0D), one-dimensional (1D), two-dimensional (2D), or three-dimensional (3D)—constitutes a primary classification for numerical model program capabilities and applications. More spatial dimensions result in more equations to solve and that generally requires more computer time and storage space.

All quantitative predictions of natural systems, including sedimentation, have inherent uncertainty resulting from errors in the inability to know future conditions, understanding and measurement of the physical and biological processes, and approximations and simplifications of the prediction method. Engineering decisions must be made despite these uncertainties, using the best available information with a full appreciation of the uncertainty bounds on that information. Therefore, modelers are responsible for quantifying uncertainty in their results and reporting them alongside model results.

**Best Practices**

Channel sedimentation problems are best solved through a methodical, step-by-step approach:

1. **Problem Definition**—including a written set of objectives, products, and schedule with quality expectations; definition of project and process boundaries; inventory of available data; and a Conceptual Site Model of sedimentation processes.
2. **Design Approach**—including progressing from simplest to more rigorous solution methods, selecting appropriate tools based on objectives, time and space scales, and available resources.
3. **Tools Application**—using best professional practices as detailed in this manual.
4. **Interpreting and Reporting**—using engineering judgement, not simply relaying raw numbers, to provide interpreted findings, including uncertainty bounds and limitations that may influence decision-making.

Managing a sedimentation study includes ensuring that the aforementioned steps are followed conscientiously, that client and team communications (including written progress reports) are frequent and candid, and that a rigorous quality assurance/quality control process is followed.

# CHAPTER 1

## INTRODUCTION

### PURPOSE

This manual describes navigation channel sedimentation, lists solutions to sedimentation problems in those channels, and recommends best practices for predicting navigation channel sedimentation responses—sediment deposition to, and erosion of, the channel bed plus surrounding bed and banks—resulting from those solutions.

### SCOPE

Vanoni (1975) defines sedimentation as follows:

Sedimentation embodies the processes of erosion, entrainment, transportation, deposition and the compaction of sediment. These are natural processes that have been active throughout geological times and have shaped the present topographic and bathymetry dimensions of our earth.

The manual focuses on sedimentation in navigation channels within rivers, canals, lakes, estuaries, and coastal waterways that allow commercial waterborne transport of people and goods and recreational use. Most, but not all, navigation channels are designated as such by government or other authorities. Ports, terminals, and anchorages located on or adjacent to these navigation channels experience the same sedimentation processes as channels. Solution procedures recommended for channels may apply to those navigation facilities as well. Overall